

Institutions Under Supervision of CMA Report

29th Issue – Fourth Quarter 2024



Index

(1) Indicators of Workforce at Capital Market Institutions	3
(2) Indicators of Workforce of Credit Rating Agencies	22
(3) Indicators at Market Infrastructure Institutions	23
(4) Indicators of Workforce at Financial Technology Companies	24
(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody	28
(6): Trading values Per Broker (Capital Market Institution Licensed in Dealing as an Agent)	37
(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))	41
(8) Complaints Rate Deposited to CMA against Capital Market Institutions	49
(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA	54
(10) Service Availability Rate¹	62
(11) Number of Public and Private Funds per Capital Market Institution¹	65
(12) Assets Under Custodial Activity at Capital Market Institutions Licensed for Custodial Activity	74
(13) Number of FinTech Experimental Permit Applications	77

Introduction

The Capital Market Authority (CMA) is pleased to present the quarterly report of the Institutions under Supervision of CMA, as part of its continuous endeavor to provide data to all who deal in the Capital market, enhance confidence therein and raise the level of transparency and disclosure.

This report aims to provide detailed data related to the entities associated with the Saudi financial market. It includes various data on financial market institutions, infrastructure institutions, credit rating agencies, as well as authorized FinTech companies.

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
1	Razeen Financial Company	11	%100	10	%100	9	%100	10	%100
2	Jadara Investment Company	4	%100	4	%100	4	%100	4	%100
3	Edraj Capital	7	%100	11	%100	12	%100	12	%100
4	Target Partners Capital Company	%600	%100	%500	%100	7	%100	7	%100
5	Nosooh Financial Company	%900	%100	%900	%100	10	%100	13	%92
6	Khwarizmi Capital Company	4	1	4	1	4	%100	4	%100
7	Erad Partners Capital Company	NA	NA	3	%67	1	%100	8	%75
8	Hasseef Investment Company	4	0.75	0	0	3	%100	4	%100
9	Digital Two Financial Company	NA	NA	NA	NA	2	%100	0	%0
10	StepStone Group Capital Company	NA	NA	NA	NA	1	%100	6	%83

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
11	Nama Portfolio Capital Company	NA	NA	NA	NA	4	%100	9	%100
12	Alinma Investment Company	186	%95	200	%91	183	%95	191	%95
13	Dom Capital Financial Company	24	%92	19	%95	18	%94	15	%100
14	Manafa Capital	13	%92	13	%92	11	%91	12	%92
15	Sabeen Investment Company	8	%88	11	%91	11	%91	11	%91
16	Saudi Fransi Capital	205	%90	202	%90	238	%91	247	%91
17	SNB Capital	414	%89	403	%89	414	%89	434	%88
18	Asyaf Investment Company	27	%78	12	%83	9	%89	9	%100
19	WinVeston Capital Company	4	1	14	1	17	%88	22	%77
20	Al Rajhi Capital	257	%86	260	%87	289	%88	307	%89

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
21	Merak Capital Company	26	%100	28	%100	33	%88	36	%94
22	Team One Company	9	%78	9	%78	8	%88	7	%86
23	Riyad Capital Company	197	%88	304	%87	291	%86	304	%86
24	ANB Capital Company	162	%86	167	%85	169	%86	174	%85
25	Dinar Investment Company	NA	NA	%100	%100	42	%86	46	%83
26	Sadu Capital Company	6	%83	6	%83	7	%86	5	%80
27	Miyar Capital	28	%82	24	%83	28	%86	28	%89
28	Nomw Capital	33	%85	32	%84	33	%85	37	%84
29	AlBilad Investment Company	190	%87	189	%87	206	%84	211	%84
30	Tamra Capital Company	14	%86	16	%81	18	%83	19	%84

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
31	The Family Office International Investment Company	38	%84	37	%78	36	%83	33	%82
32	Derayah Financial Corporation Company	196	%84	195	%81	197	%83	224	%80
33	() Alistithmar Capital for Financial Securities and Brokerage Company (Alistithmar Capital)	135	%84	135	%83	143	%83	140	%84
34	() Yaqeen Capital	108	%80	106	%81	110	%83	109	%83
35	Tharwat For Financial Securities	28	%79	36	%81	40	%83	38	%82
36	Emkan Alarabiya	20	%70	21	%76	17	%82	15	%80
37	Alkhabeer Capital	118	%81	123	%81	121	%82	123	%80
38	Tharawat Tuwaiq Financial Company	13	%77	12	%75	11	%82	14	%79
39	Impact Capital Company	18	%83	23	%70	16	%81	22	%73

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
40	AlJazira Capital Company	193	%81	187	%80	190	%81	195	%82
41	Artal Capital	26	%81	26	%81	25	%80	24	%79
42	Rawasi Advanced Investment Company	4	%100	5	%80	5	%80	5	%80
43	Standard Chartered Capital Saudi Arabia	14	%79	14	%79	15	%80	17	%76
44	Portfolios Investment Company	10	%70	9	%78	10	%80	11	%82
45	99Hlala Capital Company	4	%50	8	%75	5	%80	5	%80
46	BMG Financial Group	14	%43	15	%53	10	%80	10	%80
47	Sidra Capital Company	66	%76	65	%75	69	%80	71	%77
48	GIB Capital	88	%82	92	%82	87	%79	85	%78

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
49	Alpha Capital	65	%77	63	%78	67	%79	70	%79
50	Awaed Alasool Financial Company	43	%77	42	%76	43	%79	55	%76
51	() Saudi Economic and Development Securities Company (SEDCO Capital)	124	%81	136	%73	106	%78	119	%70
52	Marifa Capital	20	%80	17	%82	18	%78	14	%86
53	Rasana Capital Company	24	%71	23	%78	27	%78	27	%81
54	London and The Middle East Capital Company	21	%76	22	%77	22	%77	24	%75
55	Alawwal INVEST Company	218	%78	230	%79	224	%77	227	%78
56	Al Nefae Investment Group	39	%77	43	%77	43	%77	41	%76
57	Jadwa Investment Company	150	%75	153	%75	162	%77	176	%77

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
58	Arch Capital	19	%79	19	%79	17	%76	24	%63
59	Sahm Capital Financial Company	57	%67	62	%73	71	%76	71	%68
60	Sharaka Capital Company	%500	%80	%500	%80	4	%75	6	%83
61	Nitaq Capital Company	4	%75	4	%75	4	%75	4	%75
62	Middle East Financial Investment Company	47	%72	44	%73	48	%75	59	%75
63	Al Ertiqa Financial Company	7	%71	11	%73	12	%75	10	%80
64	Middle East Bridge Investment Company	8	%75	7	%71	4	%75	7	%86
65	Mashora Capital	9	%67	10	%60	8	%75	8	%75
66	Abyan Capital	NA	NA	NA	NA	24	%75	38	%79
67	Mulkia Investment Company	33	%79	31	%77	31	%74	32	%75

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
68	Tatimah Capital	21	%71	22	%73	23	%74	26	%73
69	Malaz Capital Company	39	%74	35	%71	38	%74	34	%76
70	Pinnacle Capital Company	%700	%71	%1500	%73	15	%73	17	%65
71	Osool & Bakheet Investment Company	59	%71	55	%71	56	%73	56	%68
72	AlKhair Capital Saudi Arabia Company	81	%78	82	%73	89	%73	90	%73
73	Goldman Sachs Saudi Arabia Company	21	%76	21	%76	22	%73	24	%67
74	Forsah Capital	6	%67	10	%70	11	%73	13	%62
75	Joa Capital Company	4	%100	4	%75	7	%71	8	%63
76	Itqan Capital Company	28	%71	29	%72	28	%71	32	%72
77	Sadeed Financial Company	6	%83	7	%71	7	%71	7	%71

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
78	Gulf Investors Asset Management Company	7	%71	7	%71	7	%71	7	%71
79	Mekyal Financial Technologies Company	15	%73	13	%69	14	%71	12	%67
80	Ashmore Investment Saudi Arabia Company	20	%65	21	%67	21	%71	21	%71
81	BNY Mellon Saudi Financial Company	8	%63	8	%63	7	%71	8	63%
82	Rothschild & Co Financial Advisory Company	NA	NA	%400	%50	7	%71	9	%56
83	Value Capital Company	32	%63	34	%68	31	%71	27	%70
84	Saudi Kuwaiti Finance House Company	33	%61	29	%66	31	%71	29	%55
85	Arbah Capital Company	47	%79	43	%79	48	%71	46	%70
86	Credit Suisse Saudi Arabia Company	29	%72	28	%71	24	%71	22	%59
87	Musharaka Capital Company	81	%73	82	%73	71	%70	74	%70

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
88	Citigroup Saudi Arabia	27	%70	27	%74	27	%70	31	%65
89	Afaq company	15	%67	16	%69	13	%69	16	%63
90	Alawwal Capital Company	33	%76	30	%70	29	%69	30	%70
91	Al Wasatah Al Maliah Company	33	%67	35	%69	35	%69	34	%71
92	The Northern Trust Company of Saudi Arabia	20	%70	21	%71	19	%68	21	%67
93	Watani Wealth Management Company	43	%67	43	%67	44	%68	46	%67
94	HSBC Saudi Arabia	255	%75	302	%68	309	%67	270	%77
95	Esnad Financial Company	14	%71	18	%72	15	%67	19	%63
96	Adeem Financial Company	10	%80	9	%67	12	%67	11	%64

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
97	DERB AL-TAJAR Finance	8	%75	6	%67	6	%67	6	%67
98	AlKhizanah Capital Company	6	%67	6	%67	6	%67	5	%80
99	Anfaal Capital Company	9	%67	9	%67	9	%67	9	%67
100	First Abu Dhabi Investment Saudi Company	21	%62	21	%67	21	%67	17	%65
101	Lazard Saudi Arabia Limited Company	11	%55	13	%62	12	%67	13	%69
102	Emirates NBD Capital KSA	31	%61	30	%60	36	%67	41	%66
103	Financial Contents	NA	NA	%100	%0	6	%67	9	%44
104	Estedama Alaamal Capital	NA	NA	NA	NA	6	%67	12	%83
105	. . J.P. Morgan Saudi Arabia Company	50	%66	46	%65	44	%66	46	%72
106	Deutsche Securities Saudi Arabia Company	23	%61	26	%65	26	%65	27	%67

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
107	Black Rock	20	%65	22	%64	26	%65	30	%70
108	Raz Amwal Investment	12	%67	10	%60	11	%64	12	%67
109	Seedra Investment Company	11	%55	10	%60	11	%64	14	%57
110	Audi Capital Company	28	%64	31	%68	30	%63	30	%60
111	Gulf International Investment Company	19	%63	19	%63	19	%63	18	%50
112	Watheeq Financial Services Company	16	%56	15	%60	19	%63	24	%67
113	Ejada Capital	14	%64	15	%60	16	%63	13	%69
114	Fisher Investments Arabia Company	5	0.2	6	0.5	8	%63	17	%82
115	Alfanar United Capital	NA	NA	4	0.5	8	%63	10	%60

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
116	State Street Saudi Arabia Financial Solution Company	15	%60	18	%61	21	%62	24	%63
117	Merrill Lynch KSA Company	23	%61	23	%61	21	%62	22	%64
118	Apex FundRock Investment JSC	NA	NA	1	%0	13	%62	13	%54
119	Morgan Stanley Saudi Arabia Company	30	%53	32	%59	31	%61	33	%61
120	Rekaz National Capital	16	%69	16	%69	18	%61	17	%71
121	Quencia Capital Company	4	%75	4	%75	5	%60	5	%60
122	Bayt Alnomow Capital Company	20	%65	17	%65	15	%60	21	%67
123	Ninety One Capital Company	5	0.6	5	0.6	5	%60	5	%60
124	Moelis & Company Saudi Limited	8	%50	9	%56	10	%60	8	%50

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
125	- EFG-Hermes-KSA	38	%66	41	%61	42	%60	40	%63
126	Blominvest Saudi Arabia Company	72	%60	77	%60	79	%59	86	%63
127	Areeb Capital	28	%57	29	%59	32	%59	33	%58
128	Ehata Financial Company	12	%58	18	%61	17	%59	16	%63
129	BNP Pariba Investment Company KSA	14	%57	15	%60	14	%57	15	%53
130	AlDukheil Financial Group	20	%45	26	%58	25	%56	26	%58
131	Shuaa Capital Saudi Arabia Company	10	%60	9	%56	9	%56	9	%56
132	Aloula Geojit Capital Company	9	%56	9	%56	9	%56	9	%56
133	Bait Al Mal Al Khaleeji Company	21	%67	21	%57	20	%55	18	%56

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
134	SICO Financial Saudi Company	44	%55	45	%56	51	%55	52	%54
135	Amwal Financial	9	%67	10	%60	11	%55	12	%58
136	Kamco Investment Company	18	%56	20	%60	22	%55	25	%56
137	FAAD Capital Partners Company	NA	NA	9	%56	10	%50	10	%50
138	UBS Saudi Arabia Company	15	%47	15	%53	14	%50	13	%54
139	Credit Agricole CIB Arabia Financial Company	15	%53	14	%50	14	%50	13	%54
140	KKR Saudi Limited Company	7	%43	6	%50	6	%50	6	%50
141	GFH Capital Saudi Arabia	22	%41	23	%43	19	%47	26	%35
142	Investcorp Saudi Arabia Financial Investment Company	17	%47	17	%47	17	%47	16	%44

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
143	Haykala Advisors and Managers	9	%56	10	%50	9	%44	10	%40
144	Ithmar Saudi Financial Consultancy Services	10	%40	9	%44	9	%44	9	%44
145	Societe Generale Saudi Arabia	10	%40	9	%33	9	%44	10	%50
146	Awan Saudi Capital	6	%50	7	%57	7	%43	11	%55
147	FIM Partners KSA	7	%43	7	%43	7	%43	13	%38
148	Mizuho Saudi Arabia Company	8	%38	7	%43	7	%43	7	%43
149	Amwal Capital Almaliyah	1	1	5	0.4	5	%40	9	%56
150	deNovo Partners Finance	NA	NA	5	%40	5	%40	5	%40
151	Tanmia Capital Company	16	%38	11	%27	15	%40	17	%35
152	Natixis Saudi Arabia Investment Company	10	%50	10	%50	13	%38	13	%38

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
153	Greenstone Saudi Arabia LLC	12	%25	12	%33	13	%38	12	%33
154	SMBC Advisory Services Saudi Arabia	8	%50	7	%57	9	%33	8	%38
155	Narmo Capital	9	%33	9	%33	9	%33	9	%33
156	Franklin Templeton Financial Company	11	%27	9	%33	9	%33	10	%30
157	Arcapita Capital Company	14	%36	14	%29	15	%33	15	%33
158	Aditum Capital	20	%60	5	%20	9	%33	8	%38
159	Saudi Venture Capital Investment Company	9	%22	8	%25	8	%25	9	%22
160	MMC Financial Company	9	%11	8	%13	7	%14	6	%17
161	China International Capital Corporation (West Asia) LLC	2	%50	0	0	0	%0	0	%0
162	Investors Capital	2	%0	2	0	2	%0	6	%50

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
163	Himmah Capital Investment Company	NA	NA	1	0	1	%0	7	%57
164	Dirham Capital	6	%100	NA	NA	NA	%0	NA	NA
165	Arqaam Capital Financial Company	NA	NA	NA	NA	4	%0	8	%25
166	Neo Group Limited Company	NA	NA	NA	NA	NA	NA	6	%83
167	Crowdfunding limited company (Scopeer)	NA	NA	NA	NA	NA	NA	1	100%
168	Sukna Capital	0	%0	0	%0	0	%0	8	50%
169	Ouswah Capital Company	NA	NA	0	%0	0	%0	0	%0
170	Mahlan financial consultancy company	NA	NA	0	%0	0	%0	0	%0
171	Rushd Capital Company	NA	NA	0	%0	0	%0	4	%100
172	Ouswah Capital Company	NA	NA	0	0%	0	0%	0	0%
173	Mahlan Financial Consultancy	NA	NA	0	0%	0	0%	0	0%
174	Asas Gulf Capital Company	NA	NA	NA	NA	0	0%	0	0%
175	Badwa Capital Company	NA	NA	NA	NA	0	0%	0	0%

(1) Indicators of Workforce at Capital Market Institutions

#	Capital Market Institutions	Quarter 1		Quarter 2		Quarter 3		Quarter 4	
		2024		2024		2024		2024	
		Number	Saudization %	Number	Saudization %	Number	Saudization %	Number	Saudization %
176	Apollo International Capital Company	NA	NA	NA	NA	0	0%	0	0%
177	Nama Ventures Capital Company	NA	NA	NA	NA	0	0%	0	0%
Total		5,783	77%	6,011	76%	6,226	77%	6,532	77%

NA: Not licensed at the time

1- Total includes companies that were authorized at the time and now is no longer authorized

*Capital market institutions with cancelled/suspended licenses

(2) Indicators of Workforce of Credit Rating Agencies

#	Credit Rating Agency Name	Quarter 1			Quarter 2			Quarter 3			Quarter 4		
		2024			2024			2024			2024		
		Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %
1	Financial Analytics Company	15	1	69%	14	1	67%	15	1	%69	18	1	%63
2	SIMAH Rating Agency	24	6	60%	25	5	57%	24	5	%55	23	5	%54
3	Fitch Australia PTY Ltd	2	2	50%	2	2	50%	2	2	%50	2	2	%50
4	Moody's Investors Services Middle East Limited	2	2	50%	2	2	50%	2	2	%50	2	2	%50
5	S&P Global Ratings Europe Limited	12	4	6%	12	4	6%	12	5	%12	11	4	%7
Total		55	15	49%	55	14	47%	55	15	47%	56	14	46%

NA: Not licensed at the time

(3) Indicators at Market Infrastructure Institutions

#	Market Infrastructure Institution Name	Quarter 1			Quarter 2			Quarter 3			Quarter 4		
		2024			2024			2024			2024		
		Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %
1	Securities Depository Center Company (Edaa)	59	10	93%	55	12	94%	56	12	96%	58	15	%96
2	Saudi Stock Exchange (Tadawul)	49	18	91%	49	18	91%	56	17	88%	57	18	%88
3	Securities Clearing Center Company (Muqassa)	27	14	76%	23	14	81%	24	15	85%	24	15	%85
Total		140	135	42	%88	127	44	%90	136	44	%90	139	48

(4) Indicators of Workforce at Financial Technology Companies

#	Fintech Company Name	Quarter 1			Quarter 2			Quarter 3			Quarter 4		
		2024			2024			2024			2024		
		Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %
1	Qarar Fintech Company	NA	NA	NA	6	0	%100	6	0	%100	6	0	%100
2	Villa Finance Company	5	1	%100	14	2	%94	10	1	%100	8	1	%100
3	Sukuk Capital Company	36	19	%96	39	22	%97	52	23	%97	53	25	%96
4	Sahem Financial Company	6	1	%100	8	0	%88	7	1	%88	7	1	%88
5	Bwa for Investment	NA	NA	NA	2	4	%83	3	4	%86	3	4	%86
6	Dinar Investments Company	21	6	%74	24	9	%82	25	12	%84	30	8	%76
7	Drahim Company	5	0	%100	6	0	%83	6	0	%83	6	0	%83
8	Rehan Capital Company	5	1	%83	5	1	%83	5	1	%83	5	1	%83
9	Rakeez Capital Company	5	2	%71	8	4	%83	8	4	%83	8	5	%77

(4) Indicators of Workforce at Financial Technology Companies

#	Fintech Company Name	Quarter 1			Quarter 2			Quarter 3			Quarter 4		
		2024			2024			2024			2024		
		Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %
10	Makassb Company	3	0	%100	4	1	%80	4	1	%80	4	1	%80
11	Jana Financial Company	NA	NA	NA	4	0	%75	5	0	%80	5	0	%80
12	Stake Financial Technology Company	NA	NA	NA	NA	NA	NA	3	2	%80	4	4	%88
13	Emkan Alarabiya Company	12	4	%63	12	5	%71	8	5	%77	10	5	%73
14	Abyan Capital	13	1	%86	17	1	%83	22	1	%74	25	3	%75
15	Aseel Capital	17	14	%77	16	14	%77	16	15	%74	15	14	%72
16	Mudaraba Capital Company	10	3	%69	13	3	%75	13	2	%73	13	2	%73
17	Arat Capital Company	NA	NA	NA	11	4	%80	13	4	%71	12	6	%72
18	Afaq Company	12	1	%77	10	2	%75	10	3	%69	10	3	%62

(4) Indicators of Workforce at Financial Technology Companies

#	Fintech Company Name	Quarter 1			Quarter 2			Quarter 3			Quarter 4		
		2024			2024			2024			2024		
		Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %
19	Malaa Financial Technology for Securities Business	14	5	%68	15	6	%67	18	7	%68	24	11	%11
20	Tahaluf Capital	7	6	%77	6	4	%70	8	4	%67	8	4	%75
21	Trh Capital	1	0	%100	3	0	%67	3	0	%67	3	0	%33
22	Safqah Capital Company	8	3	%64	5	2	%57	7	2	%67	12	1	%62
23	Ajdar Fintech	NA	NA	NA	NA	NA	NA	3	0	%67	3	0	%67
24	Competitiveness Financial Company CFC	4	0	%75	3	0	%67	4	1	%60	4	1	%60
25	Maysan Financial Technology Company	4	2	%67	4	2	%67	4	1	%60	6	1	%57
26	Mekyal Financial Technologies Company	NA	NA	NA	4	7	%55	4	8	%58	6	3	%44
27	Smart Savings Company	4	0	%50	4	0	%50	4	0	%50	4	0	%50

(4) Indicators of Workforce at Financial Technology Companies

#	Fintech Company Name	Quarter 1			Quarter 2			Quarter 3			Quarter 4		
		2024			2024			2024			2024		
		Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %	Male	Female	Saudization %
28	Madkhol Company	5	1	%50	7	1	%50	7	1	%50	7	1	%38
29	Tarmeez Capital Company	30	11	%39	32	12	%41	44	14	%48	50	19	%55
30	FinTech Thuluthain	NA	NA	NA	9	1	%30	9	1	%30	9	1	%30
31	Awaed Alasool Financial Company	28	9	%73	NA	NA	NA	NA	NA	NA	NA	NA	NA
32	Wethaq Capital Markets Platform Company	5	1	%0	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total		260	91	%73	291	107	%74	331	118	%74	360	125	%74

NA: Not licensed at the time

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
1	UBS Saudi Arabia Company	252%	261%	270%	270%
2	Credit Suisse Saudi Arabia Company	222%	225%	225%	223%
3	FAB Capital	217%	212%	215%	219%
4	Deutsche Securities Saudi Arabia Company	202%	196%	195%	193%
5	State Street Saudi Arabia Financial Solution Company	193%	186%	194%	190%
6	BNY Mellon Saudi Financial Company	252%	149%	146%	140%
7	The Northern Trust Company of Saudi Arabia	131%	132%	128%	135%
8	Citygroup Saudi Arabia	114%	116%	127%	129%
9	Morgan Stanley Saudi Arabia Company	104%	113%	123%	127%
10	BNP Pariba Investment Company KSA	136%	127%	118%	36%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
11	Goldman Sachs Saudi Arabia Company	101%	103%	101%	135%
12	Al Wasatah Al Maliah Company	84%	83%	84%	71%
13	Alistithmar Capital for Financial Securities and Brokerage Company Alistithmar Capital	84%	87%	84%	89%
14	Natixis Saudi Arabia Investment Company	89%	84%	82%	106%
15	JP Morgan Saudi Arabia Company	94%	86%	76%	74%
16	Investcorp Saudi Arabia Financial Investment Company	65%	74%	75%	75%
17	Saudi Kuwaiti Finance House Company	66%	65%	71%	69%
18	Gulf Investors Asset Management Company	70%	69%	67%	68%
19	FAAD Capital Partners Company	NA	NA	66%	53%
20	Audi Capital Company	54%	56%	59%	55%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
21	GFH Capital Saudi Arabia	28%	22%	59%	9%
22	EFGHermesKSA	69%	66%	56%	59%
23	Kamco Investment Company	59%	59%	50%	36%
24	KKR Saudi Limited Company	NA	NA	48%	143%
25	Credit Agricole CIB Arabia Financial Company	39%	34%	45%	30%
26	Black Rock	43%	42%	43%	43%
27	AlKhair Capital Saudi Arabia Company	24%	24%	40%	28%
28	AlBilad Investment Company	42%	41%	39%	37%
29	Merrill Lynch KSA Company	41%	47%	39%	46%
30	GIB Capital	55%	48%	39%	37%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
31	Artal Capital	13%	14%	38%	29%
32	ANB Capital Company	36%	37%	36%	37%
33	Riyad Capital Company	40%	37%	36%	44%
34	Yaqeen Capital	35%	35%	35%	34%
35	Nomw Capital	33%	26%	35%	26%
36	Saudi Fransi Capital	40%	35%	33%	33%
37	Sahm Capital Financial Company	17%	41%	32%	37%
38	Tatimah Capital	36%	25%	32%	19%
39	Al Rajhi Capital	36%	33%	31%	31%
40	Malaz Capital Company	25%	25%	31%	25%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
41	SICO Financial Saudi Company	40%	38%	30%	21%
42	HSBC Saudi Arabia	50%	30%	30%	42%
43	Alinma Investment Company	33%	30%	27%	28%
44	Derayah Financial Corporation Company	18%	24%	27%	24%
45	Alawwal INVEST Company	25%	24%	26%	24%
46	Blominvest Saudi Arabia Company	29%	26%	26%	22%
47	Jadwa Investment Company	20%	23%	25%	21%
48	SNB Capital	23%	24%	25%	24%
49	Ashmore Investment Saudi Arabia Company	16%	14%	25%	15%
50	Mulkia Investment Company	29%	20%	22%	20%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
51	Franklin Templeton Financial Company	45%	34%	21%	24%
52	Watani Wealth Management Company	18%	16%	20%	20%
53	Alpha Capital	26%	21%	20%	14%
54	Arch Capital	19%	19%	19%	17%
55	Osool Bakheet Investment Company	26%	25%	19%	22%
56	Tanmia Capital Company	20%	20%	19%	17%
57	Saudi Economic and Development Securities Company SEDCO Capital	18%	18%	18%	16%
58	Value Capital Company	14%	14%	16%	12%
59	Sidra Capital Company	19%	17%	16%	17%
60	Miyar Capital	13%	15%	16%	16%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
61	Arbah Capital Company	16%	16%	15%	15%
62	Alljazira Capital Company	16%	15%	15%	15%
63	Itqan Capital Company	16%	16%	15%	13%
64	Alawwal Capital Company	28%	27%	14%	22%
65	Tharwat For Financial Securities	23%	16%	14%	15%
66	Musharaka Capital Company	13%	14%	14%	11%
67	Al Nefaie Investment Group	14%	13%	13%	13%
68	Middle East Financial Investment Company	12%	11%	13%	12%
69	Dom Capital Financial Company	15%	21%	13%	10%
70	Standard Chartered Capital Saudi Arabia	13%	7%	13%	26%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
71	Gulf International Investment Company	48%	14%	13%	12%
72	Bait Al Mal Al Khaleeji Company	31%	13%	12%	12%
73	Arcapita Capital Company	28%	11%	12%	19%
74	Asyaf Investment Company	17%	14%	12%	12%
75	Areeb Capital	19%	17%	12%	13%
76	The Family Office International Investment Company	13%	13%	11%	11%
77	Emirates NBD Capital KSA	104%	103%	11%	11%
78	Awaed Alosool Capital	-4%	25%	11%	9%
79	Alkhabeer Capital	12%	12%	11%	10%
80	BLME Capital Company	25%	17%	10%	12%
81	Greenstone Saudi Arabia LLC	10%	10%	10%	10%

(5) Capital Adequacy for Capital Market Institutions Licensed in Dealing, Managing or/and Custody

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
82	Rasana Capital	7%	8%	7%	9%
83	Shuaa Capital Saudi Arabia Company	4%	4%	4%	5%
84	Ejada Capital Company	11%	16%	4%	3%
85	Adeem Financial Company	3%	2%	2%	6%

NA: Not licensed at the time

(6): Trading values Per Broker (Capital Market Institution Licensed in Dealing as an Agent)

#	Capital Market Institutions	Quarter 3 2024			Quarter 4 2024		
		Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)	Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)
1	SNB Capital	174,239	872	175,111	132,632	1,222	133,854
2	Al Rajhi Capital	149,885	1,482	151,367	132,322	2,139	134,461
3	Derayah Financial Corporation Company	67,836	619	68,455	56,478	1,363	57,841
4	Morgan Stanley Saudi Arabia Company	59,268	18	59,285	58,109	73	58,182
5	Merrill Lynch KSA Company	57,557	201	57,758	58,524	415	58,939
6	Riyad Capital Company	47,964	315	48,279	39,846	467	40,313
7	AlJazira Capital Company	42,034	590	42,624	34,712	1,280	35,992
8	JP Morgan Saudi Arabia Company	41,851	78	41,929	39,312	126	39,438
9	Goldman Sachs Saudi Arabia Company	39,780	60	39,840	36,772	80	36,851

(6): Trading values Per Broker (Capital Market Institution Licensed in Dealing as an Agent)

#	Capital Market Institutions	Quarter 3 2024			Quarter 4 2024		
		Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)	Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)
10	Saudi Fransi Capital	26,790	221	27,011	22,047	522	22,569
11	Alinma Investment Company	23,460	153	23,613	20,019	425	20,444
12	HSBC Saudi Arabia	22,747	50	22,797	23,725	83	23,808
13	ANB Capital Company	22,398	245	22,643	17,776	365	18,141
14	Alawwal INVEST Company	21,933	206	22,139	18,658	280	18,937
15	EFGHermesKSA	21,889	161	22,049	23,797	163	23,960
16	Alistithmar Capital for Financial Securities and Brokerage Company Alistithmar Capital	19,344	125	19,468	17,256	303	17,559
17	AlBilad Investment Company	11,805	133	11,938	10,234	237	10,471
18	Sahm Capital Financial Company	10,960	46	11,006	12,863	119	12,982

(6): Trading values Per Broker (Capital Market Institution Licensed in Dealing as an Agent)

#	Capital Market Institutions	Quarter 3 2024			Quarter 4 2024		
		Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)	Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)
19	Yaqeen Capital	4,686	174	4,860	3,380	177	3,557
20	Citygroup Saudi Arabia	4,732	3	4,735	4,755	1	4,755
21	GIB Capital	3,170	20	3,190	1,976	37	2,014
22	Alkhabeer Capital	2,842	0	2,842	2,864	10	2,874
23	Credit Suisse Saudi Arabia Company	2,733	4	2,737	7,261	27	7,287
24	AlKhair Capital Saudi Arabia Company	2,358	31	2,389	2,209	98	2,307
25	Emirates NBD Capital KSA	1,872	9	1,881	1,657	8	1,664
26	Al Nefae Investment Group	1,711	49	1,760	1,513	51	1,564
27	Musharaka Capital Company	1,649	5	1,655	1,571	24	1,596

(6): Trading values Per Broker (Capital Market Institution Licensed in Dealing as an Agent)

#	Capital Market Institutions	Quarter 3 2024			Quarter 4 2024		
		Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)	Main Market (Million Riyals)	Nomu Market (Million Riyals)	Total (Million Riyals)
28	Jadwa Investment Company	1,609	33	1,642	1,219	57	1,277
29	SICO Financial Saudi Company	1,325	10	1,330	1,558	15	1,573
30	Arbah Capital Company	953	47	1,000	1,201	59	1,260
31	Deutsche Securities Saudi Arabia Company	72	0	72	18	0	18
32	Osool Bakheet Investment Company	57	4	61	125	6	131
33	Awaed Alosool Capital	1	0	1	33	0	34
34	Audi Capital Company	0	0	0	0	0	0
Total		891,512	5,963	897,475	786,421	10,233	796,654

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
1	SNB Capital	269,619.36	267,569.24	260,581.37	269,622.85	267,506.57
2	Al Rajhi Capital	75,662.76	83,284.98	98,026.56	109,216.83	119,971.06
3	Riyad Capital Company	93,536.82	91,524.70	89,115.66	93,588.50	93,547.79
4	Alinma Investment Company	74,029.71	74,193.95	78,991.26	79,909.84	81,816.60
5	Jadwa Investment Company	62,470.96	67,147.41	66,910.02	67,298.07	81,963.82
6	() Alistithmar Capital for Financial Securities and Brokerage Company (Alistithmar Capital)	31,091.21	32,281.46	34,012.58	35,107.91	37,277.22
7	Alawwal INVEST Company	27,055.49	31,211.48	32,213.51	33,623.30	32,390.10
8	Saudi Fransi Capital	19,136.44	18,171.64	18,909.07	22,760.64	22,767.65
9	AlBilad Investment Company	16,132.18	20,336.29	20,729.75	21,997.46	30,277.33
10	AlJazira Capital Company	17,304.52	19,024.52	21,112.73	21,828.27	23,490.91
11	ANB Capital Company	16,237.34	18,663.15	19,653.50	21,638.22	21,338.26
12	Areeb Capital	2,721.78	4,558.10	5,977.49	19,694.04	20,757.30

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
13	Morgan Stanley Saudi Arabia Company	15,170.96	16,402.05	15,810.04	15,306.94	15,939.27
14	Blominvest Saudi Arabia Company	13,325.44	14,125.54	14,265.25	14,961.47	15,288.63
15	Derayah Financial Corporation Company	9,630.42	12,165.94	13,161.53	14,593.25	15,036.05
16	AlKhair Capital Saudi Arabia Company	15,949.58	15,306.44	14,932.07	14,283.75	14,122.06
17	Alpha Capital	10,281.32	13,262.44	13,481.49	14,086.09	14,055.28
18	Alkhabeer Capital	8,808.56	9,185.85	9,323.44	9,694.87	10,627.39
19	GIB Capital	5,761.56	6,430.30	8,591.66	8,289.54	9,078.66
20	Ashmore Investment Saudi Arabia Company	8,134.68	8,325.18	8,188.03	8,272.19	7,171.55
21	SICO Financial Saudi Company	6,362.90	6,721.17	6,923.69	7,002.39	6,824.18
22	State Street Saudi Arabia Financial Solution Company	5,816.27	7,466.53	7,583.02	6,910.71	7,068.60

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
23	() Saudi Economic and Development Securities Company (SEDCO Capital)	4,747.69	4,860.42	6,280.24	6,724.78	6,232.17
24	Tharwat For Financial Securities	5,440.64	5,444.87	5,709.13	6,315.60	8,420.66
25	Itqan Capital Company	4,400.98	4,717.82	5,151.20	5,392.45	6,109.25
26	Miyar Capital	3,438.55	3,652.57	3,497.03	4,755.54	5,231.61
27	Middle East Financial Investment Company	4,105.86	3,781.35	4,506.95	4,640.78	5,435.37
28	Nomw Capital	2,627.52	3,095.81	3,452.80	4,387.70	4,424.14
29	Watani Wealth Management Company	3,100.58	3,628.92	3,929.49	4,345.27	4,572.94
30	Tatimah Capital	527.91	527.91	744.24	4,245.89	4,745.90
31	Mulkia Investment Company	2,989.80	3,181.34	3,137.81	3,320.74	3,815.70
32	Malaz Capital Company	3,132.99	3,066.21	3,081.03	3,124.03	3,218.15
33	Adeem Financial Company	3,046.06	3,115.63	2,103.04	2,971.54	7,749.82

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
34	Musharaka Capital Company	2,569.97	2,761.88	2,919.39	2,930.15	3,070.53
35	FIM Partners KSA	1,732.51	2,674.85	2,497.90	2,700.47	2,566.26
36	Sidra Capital Company	2,021.64	2,037.98	2,290.32	2,448.00	2,386.85
37	Artal Capital	2,495.70	2,306.44	2,321.64	2,438.52	2,817.54
38	Osool & Bakheet Investment Company	1,872.61	2,009.60	2,243.32	2,330.25	2,268.93
39	() Yaqeen Capital	1,353.57	1,446.98	1,699.17	1,962.73	2,037.97
40	Impact Capital Company	917.25	909.04	1,915.12	1,697.80	2,100.35
41	Tamra Capital Company	671.96	948.59	1,154.61	1,414.89	1,529.37
42	Rasana Capital Company	1,190.34	1,227.75	1,262.35	1,264.90	1,411.99
43	Al Nefae Investment Group	582.82	701.47	1,078.89	1,156.12	1,226.92
44	Arcapita Capital Company	937.50	1,105.63	1,105.63	1,105.63	1,105.63
45	Kamco Investment Company	1,034.69	1,130.42	1,053.35	1,074.29	1,091.24
46	Arbah Capital Company	1,290.59	1,243.17	1,066.60	1,011.85	1,026.05

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
47	Sabeen Investment Company	624.08	911.40	1,013.87	992.67	1,053.14
48	Alawwal Capital Company	985.09	943.95	948.93	963.48	826.57
49	Shuaa Capital Saudi Arabia Company	911.66	911.66	911.66	911.66	856.77
50	Raz Amwal Investment Company	401.37	725.40	834.57	899.41	898.2
51	Saudi Kuwaiti Finance House Company	757.99	810.39	716.82	897.68	741.71
52	Emirates NBD Capital KSA	945.71	872.32	872.32	849.61	849.61
53	Merak Capital Company	628.35	742.78	754.88	754.58	868.85
54	Lazard Saudi Arabia Limited Company	NA	NA	714.93	739.34	721.02
55	Arch Capital	609.55	617.92	619.57	641.36	635.82
56	Tanmia Capital Company	457.70	523.70	600.17	614.51	591.07
57	Portfolios Investment Company	521.03	574.10	541.79	556.55	544.23
58	Anfaal Capital Company*	550.00	550.00	550.00	550.00	550

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
59	Investcorp Saudi Arabia Financial Investment Company	550.82	546.21	474.88	474.88	379.15
60	BLME Capital Company	NA	NA	NA	464.38	566.94
61	Al Wasatah Al Maliah Company	572.25	569.18	471.68	452.64	462.2
62	Audi Capital Company	336.58	465.17	432.26	440.56	441.92
63	Value Capital Company	109.13	141.57	200.57	345.25	281.82
64	Watheeq Financial Services Company	51.23	56.39	87.35	336.68	399.63
65	United Financial House Company*	255.00	255.00	255.00	255.00	255
66	Asyaf Investment Company	127.38	172.41	204.15	203.76	98.2
67	Rekaz National Capital	147.31	145.31	166.94	182.70	192.18
68	Seedra Investment Company	158.29	158.01	158.58	159.34	158.03
69	Gulf Investors Asset Management Company	132.26	148.89	148.55	148.62	154.96
70	99Hlala Capital Company*	141.97	141.97	141.97	141.97	141.97

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
71	Afaq company	NA	NA	NA	139.67	1,255.76
72	Bayt Alnomow Capital Company	98.68	96.10	150.42	137.86	266.7
73	Bait Al Mal Al Khaleeji Company	122.47	125.04	74.63	105.11	107.87
74	Sharaka Capital Company	NA	NA	NA	78.06	0
75	Pinnacle Capital Company	NA	NA	NA	59.97	59.69
76	Joa Capital Company	34.81	43.94	43.12	52.30	68.91
77	Aloula Geojit Capital Company*	37.55	37.55	37.55	37.55	37.55
78	The Investor Company for Securities*	34.55	34.55	34.55	34.55	34.55
79	Credit Suisse Saudi Arabia Company	36.30	34.79	33.40	32.40	0
80	Ejada Capital	NA	NA	19.50	19.75	19.75
81	Marifa Capital	132.79	16.21	15.10	14.73	6.79
82	Tharawat Tuwaiq Financial Company	19.90	13.52	15.47	10.64	7.98

(7) Assets Under Management (AUM) Per Capital Market Institution (Public, Private Funds and Discretionary Portfolio Management (DPM))

#	Capital Market Institutions	Quarter 4 2023 (Million Riyals)	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
83	Gulf International Investment Company	8.87	8.82	8.75	8.68	356.25
84	Dom Capital Financial Company	64.21	4.70	10.43	7.22	4.13
85	AlKhizanah Capital Company	0.00	0.00	0.00	5.31	5.42
86	UBS Saudi Arabia Company	0.00	3.06	0.00	0.00	0
87	GFH Capital Saudi Arabia	225.00	0.00	0.00	0.00	1,265.25
88	Amwal Capital Almaliyah	NA	NA	NA	NA	1,768.8
89	Greenstone Saudi Arabia LLC	NA	NA	NA	NA	185.0
90	Awaed Alasool Financial Company	NA	NA	NA	NA	78.1
91		NA	NA	NA	NA	6.5
Total¹		871,259.83	908,336.97	934,959.30	997,167.44	1,052,929.20

NA: Not licensed at the time

*Capital market institutions with cancelled/suspended licenses

(8) Complaints Rate Deposited to CMA against Capital Market Institutions

(Number of Complaints Per 1000 Customers)

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
1	Emirates NBD Capital KSA	0.000	0.000	0.000	0.000
2	EFGHermesKSA	0.000	0.000	0.000	0.000
3	Watani Wealth Management Company	0.000	0.000	0.000	0.000
4	Ashmore Investment Saudi Arabia Company	0.000	0.000	0.000	0.000
5	Goldman Sachs Saudi Arabia Company	0.000	0.000	0.000	0.000
6	GIB Capital	0.000	0.000	0.000	7.117
7	Sidra Capital Company	0.000	0.000	0.000	3.559
8	Morgan Stanley Saudi Arabia Company	0.000	0.000	0.000	0.000
9	Merrill Lynch KSA Company	0.000	0.000	0.000	0.000
10	The Family Office International Investment Company	0.000	0.000	0.000	0.000

(8) Complaints Rate Deposited to CMA against Capital Market Institutions

(Number of Complaints Per 1000 Customers)

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
11	Tharwat For Financial Securities	0.000	0.000	0.000	0.000
12	Mulkia Investment Company	3.906	0.000	0.000	0.000
13	Osool Bakheet Investment Company	4.032	0.000	0.000	0.000
14	Citigroup Saudi Arabia	7.407	0.000	0.000	1.883
15	Miyar Capital	0.000	12.048	0.000	0.000
16	Audi Capital Company	0.000	15.625	0.000	NA
17	Middle East Financial Investment Company	15.873	16.949	0.000	NA
18	Arbah Capital Company	0.000	24.691	0.000	10.101
19	Alinma Investment Company	0.124	0.086	0.072	0.043
20	Alawwal INVEST Company	0.074	0.068	0.092	0.118
21	Tamra Capital Company	0.093	0.162	0.110	0.029

(8) Complaints Rate Deposited to CMA against Capital Market Institutions

(Number of Complaints Per 1000 Customers)

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
22	Riyad Capital Company	0.276	0.219	0.211	0.217
23	Saudi Fransi Capital	0.371	0.383	0.275	0.139
24	SNB Capital	0.443	0.356	0.276	0.349
25	Derayah Financial Corporation Company	0.611	0.321	0.310	0.320
26	Awaed Alosool Capital	0.261	0.260	0.425	0.722
27	Jadwa Investment Company	0.000	0.509	0.455	0.427
28	AlBilad Investment Company	0.453	0.228	0.466	0.386
29	AlJazira Capital Company	0.412	0.114	0.527	0.247
30	Al Rajhi Capital	0.641	0.579	0.681	0.444
31	Alistithmar Capital for Financial Securities and Brokerage Company Alistithmar Capital	0.129	0.193	0.681	0.108
32	Sahm Capital Financial Company	0.000	0.223	0.702	0.556

(8) Complaints Rate Deposited to CMA against Capital Market Institutions

(Number of Complaints Per 1000 Customers)

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
33	ANB Capital Company	0.350	0.180	0.742	0.318
34	Alpha Capital	0.000	1.580	1.513	0.963
35	Yaqeen Capital	2.135	0.648	1.601	1.695
36	Blominvest Saudi Arabia Company	1.250	1.377	1.698	0.782
37	Merak Capital Company	10.345	NA	2.410	0.000
38	Al Nefaie Investment Group	5.128	0.000	3.472	10.000
39	Alkhabeer Capital	1.689	6.231	3.750	1.122
40	Raz Amwal Investment	0.000	0.000	4.292	0.000
41	JP Morgan Saudi Arabia Company	24.793	4.464	4.444	3.472
42	Musharaka Capital Company	0.000	7.874	4.878	3.361
43	SICO Financial Saudi Company	0.000	0.000	8.772	0.000
44	Saudi Kuwaiti Finance House Company	0.000	0.000	9.524	0.000
45	Rasana Capital Company	NA	NA	14.925	0.000

(8) Complaints Rate Deposited to CMA against Capital Market Institutions

(Number of Complaints Per 1000 Customers)

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
46	Impact Capital Company	0.000	10.638	15.306	0.000
47	Afaq company	72.464	0.000	17.241	0.000
48	Alawwal Capital Company	NA	NA	17.354	16.405
49	Value Capital Company	16.364	112.150	22.495	25.052
50	AlKhair Capital Saudi Arabia Company	0.688	0.711	23.506	0.000
51	Itqan Capital Company	0.000	43.478	34.483	15.385
52	HSBC Saudi Arabia	6.579	6.452	56.338	28.736
53	Saudi Economic and Development Securities Company SEDCO Capital	15.267	14.085	100.000	20.690
54	Investcorp Saudi Arabia Financial Investment Company	0.000	0.000	NA	-
55	Asyaf Investment Company	20.000	0.000	NA	NA
56		NA	0.000	NA	NA
57	Malaz Capital Company	NA	NA	NA	13.889
58	Northren Trust Company of Saudi Arabia	NA	NA	NA	0.000

NA: Not licensed at the time or have less than 50 clients

1- Complaints rate per Capital Market Institution with 50 clients or more = (number of complaints / numbers of Active clients) X (1000)

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
1	Al Wasatah Al Maliah Company	100%	100%	-	-
2	State Street Saudi Arabia Financial Solution Company	100%	100%	-	-
3	Middle East Financial Investment Company	100%	100%	-	100%
4	AlDukheil Financial Group	50%	100%	-	-
5	Audi Capital Company	-	100%	-	-
6	Deutsche Securities Saudi Arabia Company	-	100%	-	-
7	Arbah Capital Company	-	100%	-	0%
8	Gulf One Capital Company	-	100%	-	-
9	Amwal Financial	100%	33%	-	-
10	Anfaal Capital Company*	100%	-	-	-
11	Alawwal Capital Company	100%	-	-	-

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
12	Citigroup Saudi Arabia	0%	-	-	100%
13	Aloula Geojit Capital Company*	0%	-	-	-
14	Osool Bakheet Investment Company	0%	-	-	-
15	Mulkia Investment Company	0%	-	-	-
16	99Hlala Capital Company*	-	-	-	-
17	Ashmore Investment Saudi Arabia Company	-	-	-	-
18	Credit Suisse Saudi Arabia Company	-	-	-	88%
19	Nomw Capital	-	-	-	-
20	United Financial House Company*	-	-	-	-
21	Swicorp Company	-	-	-	-
22	Bait Al Mal Al Khaleeji Company	-	-	-	-

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
23	Morgan Stanley Saudi Arabia Company	-	-	-	-
24	Watani Wealth Management Company	-	-	-	-
25	GIB Capital	-	-	-	100%
26	Emirates NBD Capital KSA	-	-	-	-
27	Gulf International Investment Company	-	-	-	-
28	Team One Company	-	-	-	-
29	EFGHermesKSA	-	-	-	-
30	Saudi Portfolio Securities Company	-	-	-	-
31	Malaz Capital Company	-	-	-	0%
32	Investcorp Saudi Arabia Financial Investment Company	-	-	-	-
33	Ithmar Saudi Financial Consultancy Services	-	-	-	-

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
34	Ehata Financial Company	-	-	-	-
35	BNP Pariba Investment Company KSA	-	-	-	-
36	House of National Consulting Company	-	-	-	-
37	Tharwat For Financial Securities	-	-	-	-
38	Goldman Sachs Saudi Arabia Company	-	-	-	-
39	Sidra Capital Company	-	-	-	0%
40	Societe Generale Saudi Arabia	-	-	-	-
41	Kamco Investment Company	-	-	-	-
42	KKR Saudi Limited Company	-	-	-	-
43	Lazard Saudi Arabia Limited Company	-	-	-	-
44	The Family Office International Investment Company	-	-	-	-

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
45	Merrill Lynch KSA Company	-	-	-	-
46	Mizuho Saudi Arabia Company	-	-	-	-
47	The Northern Trust Company of Saudi Arabia	-	-	-	-
48	UBS Saudi Arabia Company	-	-	-	-
49	Saudi Venture Capital Investment Company	-	-	-	0%
50	BMG Financial Group	-	-	-	-
51	The Investor Company for Securities*	-	-	-	-
52	Marifa Capital	-	-	-	50%
53	Dirham Capital	50%	NA	-	-
54	SAMBA Capital Investment Management Company	NA	NA	-	-
55	Alkhabeer Capital	100%	100%	100%	100%

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
56	Blominvest Saudi Arabia Company	100%	100%	100%	0%
57	Rasana Capital Company	100%	100%	100%	-
58	AlJazira Capital Company	86%	100%	100%	75%
59	Yaqeen Capital	83%	100%	100%	80%
60	JP Morgan Saudi Arabia Company	80%	100%	100%	100%
61	Adeem Financial Company	50%	100%	100%	0%
62	Gulf Investors Asset Management Company	0%	67%	100%	-
63	Jadwa Investment Company	-	0%	100%	100%
64	Al Nefaie Investment Group	0%	-	100%	50%
65	SICO Financial Saudi Company	-	-	100%	-
66	Saudi Kuwaiti Finance House Company	-	-	100%	-

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
67	Saudi Fransi Capital	100%	90%	95%	70%
68	Alinma Investment Company	74%	84%	94%	80%
69	SNB Capital	84%	81%	94%	80%
70	Saudi Economic and Development Securities Company SEDCO Capital	50%	50%	92%	67%
71	AlKhair Capital Saudi Arabia Company	100%	100%	89%	-
72	Tamra Capital Company	67%	83%	89%	75%
73	Alistithmar Capital for Financial Securities and Brokerage Company Alistithmar Capital	89%	93%	87%	63%
74	Riyad Capital Company	100%	72%	85%	79%
75	AlBilad Investment Company	81%	88%	81%	85%
76	Alawwal INVEST Company	91%	70%	80%	94%

(9) Processed / Settled Complaints Against Capital Market Institutions as a Percentage of Total Complaints Filed with CMA1

#	Capital Market Institutions	Quarter 1 2023	Quarter 2 2024	Quarter3 2024	Quarter 4 2023
77	Al Rajhi Capital	96%	67%	78%	85%
78	ANB Capital Company	82%	100%	77%	58%
79	HSBC Saudi Arabia	0%	100%	75%	40%
80	Standard Chartered Capital Saudi Arabia	-	100%	75%	100%
81	Derayah Financial Corporation Company	85%	77%	75%	74%
82	Musharaka Capital Company	-	100%	50%	0%
83	Itqan Capital Company	-	67%	50%	100%
84	Value Capital Company	33%	25%	18%	42%
85	Areeb Capital	0%	-	0%	33%
86	Shuaa Capital Saudi Arabia Company	-	-	0%	-

1- Number of settled complaints over number of deposited complaints

(-) There are no complaints according to the definition of total complaints

*Capital market institutions with cancelled/suspended licenses

10) Service Availability Rate¹

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
1	Audi Capital Company	100%	100%	100%	100%
2	Arbah Capital Company	100%	100%	100%	100%
3	Sahm Capital Financial Company	100%	100%	100%	100%
4	Musharaka Capital Company	100%	100%	100%	100%
5	Merrill Lynch KSA Company	100%	100%	100%	100%
6	Alawwal INVEST Company	100%	100%	100%	100%
7	Derayah Financial Corporation Company	99%	100%	100%	100%
8	Alkhabeer Capital	100%	100%	100%	100%
9	Citigroup Saudi Arabia	100%	100%	100%	100%
10	EFGHermesKSA	100%	100%	100%	100%
11	Deutsche Securities Saudi Arabia Company	100%	100%	100%	100%
12	Emirates NBD Capital KSA	100%	100%	100%	100%
13	Yaqeen Capital	100%	100%	100%	100%
14	JP Morgan Saudi Arabia Company	100%	100%	100%	100%

10) Service Availability Rate¹

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
15	Goldman Sachs Saudi Arabia Company	100%	99%	100%	100%
16	SNB Capital	98%	99%	100%	100%
17	Al Nefae Investment Group	98%	98%	100%	100%
18	Awaed Alasool Financial Company	99%	95%	97%	81%
19	SICO Financial Saudi Company	100%	100%	100%	100%
20	Jadwa Investment Company	100%	100%	100%	100%
21	Riyad Capital Company	100%	99%	100%	100%
22	AlJazira Capital Company	100%	100%	100%	100%
23	ANB Capital Company	99%	98%	100%	100%
24	Alinma Investment Company	99%	100%	99%	99%
25	Al Rajhi Capital	98%	98%	99%	99%
26	AlBilad Investment Company	100%	100%	99%	99%
27	Saudi Fransi Capital	99%	100%	99%	99%
28	Alistithmar Capital for Financial Securities and Brokerage Company Alistithmar Capital	100%	100%	99%	99%

10) Service Availability Rate¹

#	Capital Market Institutions	Quarter 1 2024	Quarter 2 2024	Quarter 3 2024	Quarter 4 2024
29	HSBC Saudi Arabia	100%	96%	98%	98%
30	Credit Suisse Saudi Arabia Company	99%	98%	98%	98%
31	GIB Capital	100%	98%	98%	98%
32	Morgan Stanley Saudi Arabia Company	100%	98%	98%	98%
33	Osool Bakheet Investment Company	100%	92%	97%	97%
34	AlKhair Capital Saudi Arabia Company	100%	99.37%	100.00%	100.00%

1- Starting from Q4 2021 report, **the brokerage service availability rate indicator for the client and the service availability rate indicator between Tadawul and the financial market institutions** were merged into the table above, which indicates

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
1	SNB Capital	47	130	177	47	134	181	47	140	187	48	142	190
2	Jadwa Investment Company	8	105	113	8	119	127	9	130	139	9	132	141
3	Al Rajhi Capital	32	37	69	35	38	73	36	40	76	36	45	81
4	Riyad Capital Company	26	40	66	26	43	69	26	42	68	27	43	70
5	Alinma Investment Company	16	51	67	15	52	67	15	52	67	16	58	74
6	Blominvest Saudi Arabia Company	4	52	56	4	54	58	4	53	57	4	53	57
7	Alawal INVEST Company	25	20	45	25	21	46	25	23	48	26	23	49
8	AlJazira Capital Company	13	24	37	13	30	43	13	34	47	13	35	48
9	AlBilad Investment Company	17	24	41	18	23	41	19	24	43	20	27	47
10	ANB Capital Company	12	23	35	12	26	38	12	31	43	14	40	54
11	Itqan Capital Company	2	37	39	2	37	39	2	40	42	2	41	43
12	GIB Capital	5	34	39	6	32	38	7	23	30	7	29	36

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
13	Saudi Fransi Capital	12	16	28	12	16	28	12	18	30	12	21	33
14	Saudi Economic and Development Securities Company	1	16	17	3	18	21	3	27	30	3	26	29
15	Derayah Financial Corporation Company	5	23	28	5	23	28	6	22	28	6	21	27
16	Alistithmar Capital for Financial Securities and Brokerage Company Alistithmar Capital	8	20	28	8	19	27	8	19	27	9	25	34
17	Nomw Capital	0	19	19	0	22	22	0	27	27	0	27	27
18	Alkhabeer Capital	5	17	22	5	18	23	5	19	24	5	18	23
19	Areeb Capital	0	23	23	0	23	23	0	23	23	0	23	23
20	AlKhair Capital Saudi Arabia Company	4	23	27	4	22	26	4	18	22	4	18	22
21	Musharaka Capital Company	3	20	23	3	19	22	3	18	21	3	18	21

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
22	Alpha Capital	4	13	17	4	14	18	4	17	21	5	19	24
23	Rasana Capital Company	2	12	14	2	13	15	2	16	18	2	15	17
24	Osool Bakheet Investment Company	5	11	16	5	11	16	5	12	17	5	12	17
25	Middle East Financial Investment Company	3	14	17	3	14	17	3	12	15	3	11	14
26	Tharwat For Financial Securities	0	10	10	0	10	10	0	15	15	0	16	16
27	Alawwal Capital Company	3	11	14	3	11	14	3	11	14	3	13	16
28	Tatimah Capital	0	8	8	0	11	11	0	14	14	0	14	14
29	Tanmia Capital Company	0	13	13	0	13	13	0	13	13	0	13	13
30	Mulkia Investment Company	3	10	13	3	10	13	2	10	12	2	11	13
31	Ashmore Investment Saudi Arabia Company	2	10	12	2	9	11	3	9	12	3	8	11
32	Sidra Capital Company	0	10	10	0	10	10	0	12	12	0	12	12
33	Yaqeen Capital	6	3	9	7	3	10	7	5	12	8	5	13

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
34	Impact Capital Company	0	10	10	0	10	10	0	11	11	0	11	11
35	Arbah Capital Company	1	10	11	1	9	10	1	9	10	1	12	13
36	Asyaf Investment Company	0	10	10	0	10	10	0	10	10	0	10	10
37	SICO Financial Saudi Company	4	5	9	4	5	9	4	6	10	4	10	14
38	Value Capital Company	5	3	8	5	2	7	5	5	10	5	7	12
39	Bayt Alnomow Capital Company	0	3	3	0	6	6	0	10	10	0	11	11
40	Al Nefae Investment Group	1	11	12	1	11	12	1	8	9	1	9	10
41	Malaz Capital Company	0	10	10	0	11	11	0	8	8	0	7	7
42	Merak Capital Company	0	5	5	0	7	7	0	8	8	0	8	8
43	Rekaz National Capital	0	8	8	0	8	8	0	7	7	0	5	5
44	Saudi Kuwaiti Finance House Company	1	6	7	1	6	7	1	6	7	2	5	7
45	Arch Capital	0	6	6	0	6	6	0	7	7	0	9	9

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
46	Miyar Capital	2	3	5	2	3	5	2	5	7	2	7	9
47	Artal Capital	1	4	5	1	3	4	1	6	7	2	6	8
48	Watheeq Financial Services Company	0	6	6	0	6	6	0	6	6	0	6	6
49	Bait Al Mal Al Khaleeji Company	3	6	9	3	2	5	3	2	5	4	6	10
50	Sabeen Investment Company	0	5	5	0	5	5	0	5	5	0	5	5
51	Al Wasatah Al Maliah Company	1	3	4	1	3	4	1	3	4	1	2	3
52	GFH Capital Saudi Arabia	0	4	4	0	4	4	0	4	4	0	5	5
53	Awaed Alasool Financial Company	0	3	3	0	3	3	0	4	4	0	3	3
54	Gulf International Investment Company	0	2	2	0	3	3	0	4	4	0	3	3
55	Afaq company	0	2	2	0	3	3	0	4	4	0	7	7

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
56	BLME Capital Company	0	2	2	0	2	2	0	4	4	0	4	4
57	Emirates NBD Capital KSA	0	6	6	0	6	6	0	3	3	0	2	2
58	Adeem Financial Company	0	3	3	0	3	3	0	3	3	0	2	2
59	Shuaa Capital Saudi Arabia Company	0	3	3	0	3	3	0	3	3	0	3	3
60	Gulf Investors Asset Management Company	0	3	3	0	3	3	0	3	3	0	3	3
61	Watani Wealth Management Company	1	1	2	1	1	2	1	2	3	1	3	4
62	Tharawat Tuwaiq Financial Company	0	1	1	0	1	1	0	3	3	0	5	5
63	The Investor Company for Securities*	0	2	2	0	2	2	0	2	2	0	2	2
64	Morgan Stanley Saudi Arabia Company	1	1	2	1	1	2	1	1	2	1	1	2
65	Audi Capital Company	2	0	2	2	0	2	2	0	2	2	0	2

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
66	Arcapita Capital Company	0	2	2	0	2	2	0	2	2	0	2	2
67	Rawasi Advanced Investment Company	0	2	2	0	2	2	0	2	2	0	1	1
68	Seedra Investment Company	0	2	2	0	2	2	0	2	2	0	2	2
69	Sharaka Capital Company	0	2	2	0	2	2	0	2	2	0	3	3
70	Raz Amwal Investment	0	1	1	0	1	1	0	2	2	0	2	2
71	Dom Capital Financial Company	1	0	1	1	0	1	1	1	2	1	2	3
72	Hlala Capital Company*	0	1	1	0	1	1	0	1	1	0	1	1
73	Aloula Geojit Capital Company*	0	1	1	0	1	1	0	1	1	0	1	1
74	Investcorp Saudi Arabia Financial Investment Company	0	1	1	0	1	1	0	1	1	0	1	1
75	Anfaal Capital Company*	0	1	1	0	1	1	0	1	1	0	1	1

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
76	United Financial House Company*	0	1	1	0	1	1	0	1	1	0	1	1
77	Joa Capital Company	0	1	1	0	1	1	0	1	1	0	2	2
78	Kamco Investment Company	1	0	1	1	0	1	1	0	1	3	0	3
79	FIM Partners KSA	0	1	1	0	1	1	0	1	1	0	3	3
80	Black Rock	0	1	1	0	1	1	0	1	1	0	1	1
81	Portfolios Investment Company	0	1	1	0	1	1	0	1	1	0	1	1
82	Ejada Capital	0	1	1	0	1	1	0	1	1	0	2	2
83	Greenstone Saudi Arabia LLC	0	0	0	0	0	0	0	1	1	0	1	1
84	Pinnacle Capital Company	0	0	0	0	0	0	0	1	1	0	2	2
85	Nitaq Capital Company	0	1	1	0	0	0	0	0	0	0	0	0
86	East Bridge Capital	0	0	0	0	0	0	0	0	0	0	0	0
87	HSBC Saudi Arabia	0	0	0	0	0	0	0	0	0	0	0	0

11) Number of Public and Private Funds per Capital Market Institution¹

#	Capital Market Institutions ¹	Quarter 1 2024			Quarter 2 2024			Quarter 3 2024			Quarter 4 2024		
		Public	Private	Total	Public	Private	Total	Public	Private	Total	Public	Private	Total
88	UBS Saudi Arabia Company	NA	NA	NA	NA	NA	NA	0	0	0	0	0	0
89	Dirham Capital	0	0	0	NA	NA	NA	0	0	0	0	0	0
90	Swicorp Company	NA	NA	NA	NA	NA	NA	0	0	0	0	0	0
91	WinVeston Capital Company	NA	NA	NA	NA	NA	NA	0	0	0	0	1	1
92	Dinar Investment Company	NA	NA	NA	NA	NA	NA	NA	NA	NA	0	1	1
	TOTAL	298	1,046	1,344	305	1,085	1,390	310	1,154	1,464	325	1,224	1,549

The service availability is regardless of the source of the service interruption.

NA: Not licensed at the time

1- CMIs Licensed in Investment Fund Management

12) Assets Under Custodial Activity at Capital Market Institutions Licensed for Custodial Activity

#	Capital Market Institutions	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
1	Riyad Capital Company	888,350.0	791,136.3	806,573.7	832,047.50
2	The Northern Trust Company of Saudi Arabia	566,511.6	549,624.0	561,220.1	556,616.60
3	HSBC Saudi Arabia	261,743.3	258,362.0	285,040.1	321868.70
4	AlBilad Investment Company	138,600.8	145,037.4	148,091.3	156,874.70
5	Nomw Capital	91,677.1	99,040.2	114,584.0	119,008.3
6	JP Morgan Saudi Arabia Company	103,755.0	103,257.3	111,945.3	114,595.4
7	FAB Capital	102,256.8	93,730.7	100,057.1	99,849.7
8	SNB Capital	44,747.3	64,363.2	97,884.4	139,517.5
9	Alinma Investment Company	75,327.0	79,353.4	85,969.5	87,678.7
10	EFGHermesKSA	53,001.8	45,536.1	52,047.3	49,805.8
11	AlJazira Capital Company	26,814.3	40,286.0	42,539.7	43,801.4
12	Saudi Fransi Capital	20,179.0	21,054.5	22,959.7	23,607.7
13	Al Nefae Investment Group	22,935.8	22,935.8	22,935.8	22,935.8
14	Alistithmar Capital for Financial Securities and Brokerage Company Alistithmar Capital	17,360.2	17,298.7	18,592.6	18,189.3

12) Assets Under Custodial Activity at Capital Market Institutions Licensed for Custodial Activity

#	Capital Market Institutions	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
15	Citigroup Saudi Arabia	16,489.2	15,760.9	15,616.4	15,576.5
16	State Street Saudi Arabia Financial Solution Company	5,089.7	5,344.8	5,762.2	5,733.9
17	ANB Capital Company	3,734.0	5,681.2	5,689.6	7,544.8
18	Value Capital Company	5,385.1	5,576.5	5,576.5	6,287.8
19	Musharaka Capital Company	1,156.8	1,855.9	4,924.4	7,128.3
20	Audi Capital Company	2,814.5	2,742.7	2,755.6	2,602.9
21	Deutsche Securities Saudi Arabia Company	2,248.8	2,772.9	2,691.6	2,892.5
22	Osool Bakheet Investment Company	843.8	1,772.8	1,555.4	1,961.0
23	Credit Suisse Saudi Arabia Company	1,315.8	1,285.6	1,455.8	5,863.6
24	Al Rajhi Capital	93.6	88.7	1,217.1	1,522.6
25	Goldman Sachs Saudi Arabia Company	673.9	513.3	860.2	856.20
26	Emirates NBD Capital KSA	6,580.4	7,497.3	766.4	898.40
27	Alawwal Capital Company	378.9	405.8	417.0	631.00
28	Itqan Capital Company	1,286.5	1,180.3	383.9	311.80
29	Saudi Kuwaiti Finance House Company	331.4	331.4	331.4	331.40

12) Assets Under Custodial Activity at Capital Market Institutions Licensed for Custodial Activity

#	Capital Market Institutions	Quarter 1 2024 (Million Riyals)	Quarter 2 2024 (Million Riyals)	Quarter 3 2024 (Million Riyals)	Quarter 4 2024 (Million Riyals)
30	Jadwa Investment Company	267.5	267.5	331.1	315.20
31	Standard Chartered Capital Saudi Arabia	170.9	163.2	164.5	169.00
32	Yaqeen Capital	23.9	23.3	31.1	33.80
33	AlKhair Capital Saudi Arabia Company	9.6	9.2	9.5	8.70
34	Saudi Economic and Development Securities Company SEDCO Capital	0.0	0.0	0.0	0.00
35	Sahm Capital Financial Company	91.8	0.0	0.0	0.00
36	Morgan Stanley Saudi Arabia Company	0.0	0.0	0.0	0.00
37	GIB Capital	0.0	0.0	0.0	0.00
38	UBS Saudi Arabia Company	0.0	0.0	0.0	0.00
39	Shuaa Capital Saudi Arabia Company	0.0	0.0	0.0	0.00
40	Watani Wealth Management Company	0.0	0.0	0.0	0.00
41	Gulf International Investment Company	0.0	0.0	0.0	0.00
	Total	2,462,246.2	2,384,288.9	2,520,980.1	2,647,066.9

*Capital market institutions with cancelled/suspended licenses

13) Number of FinTech Experimental Permit Applications

End of Period	Number of Applications for FinTech Experimental Permit	Number of Companies That Obtained FinTech Experimental Permit
First quarter 2022	10	0
Second quarter 2022	20	11
Third quarter 2022	46	3
Fourth quarter 2022	10	3
First quarter 2023	14	5
Second quarter 2023	7	8
Third quarter 2023	7	0
Fourth quarter 2023	46	1
First quarter 2024	8	3
Second quarter 2024	6	0
Third quarter 2024	18	9
Fourth quarter 2024	28	0

For Inquiries and More Information:

Data Management Office

PO Box 87171

Riyadh 11642

1111-245-800

Call Center:

+966112053000

Email: info@cma.org.sa

